Highway Transformer: Self-Gating Enhanced Self-Attentive Networks

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Transformers [1]

- Extensive applications.
- Salient achievements.
- Parallel training (precludes the sequence-aligned recurrence as in LSTMs).

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Transformer Architecture [1]



Sublayers

- Multi-head dot product attention.
- Position-wise feed-forward layer.

Location-Unaware

 Absolute sinusoidal Positional Encoding.

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Q1: Are vanilla Transformers sufficient for seq-to-seq learning?

- Previous works [2, 3, 4] leverage gating mechanisms (GLU) and Convolutional Neural Networks (CNN) to learn sequences.
- ONNs are adept in learning *local-region features* whereas Transformers are good at modeling *global dependencies*.

Q2: Do we need identical Transformer stacks in different depth?

• Previous work [5] claimed that self-attention models tend to capture local features in the bottom layers.

Highway Transformer Architecture

Three streams:

- Self-dependency (SDU);
- Inter-dependency (SAN / FFN);
- Identity (residual connection).



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Highway Transformer (ACL 2020)



$$SDU(\mathbf{X}) = T(\mathbf{X}) \odot (\mathbf{X}\mathbf{W}_2 + \mathbf{b}_2)$$
 (2)



where $T(\mathbf{X})$ indicates the *transform* gate, Ψ is the gate function to confine the linear projection into a fixed range, which takes the sigmoidal-curve functions such as σ and tanh.

- tanh is treated as an update gate to restrict the importance range into [-1,1].
- σ can be regarded as the input gate to modulate how much information to retain at the feature-wise level.

Pseudo-Highway Connection

When taking σ as the non-linearity:

$$\nabla[\mathbf{f}(\mathbf{X}) \odot \sigma(\mathbf{g}(\mathbf{X}))] = \underbrace{\sigma(\mathbf{g}(\mathbf{X}))}_{\text{carry gate}} \odot \nabla \mathbf{f}(\mathbf{X}) + \underbrace{(1 - \sigma(\mathbf{g}(\mathbf{X})))}_{\text{carry gate}} (\sigma(\mathbf{g}(\mathbf{X})) \odot \mathbf{f}(\mathbf{X}))$$
(3)

where the $\sigma(.)$ can be seen as the transform gate, while $(1 - \sigma(.))$ can be seen as the carry gate. This could be regarded as a form of highway networks.



- **1** SDU \hookrightarrow Self-dependency on itself by applying transform gate T.
- 2 Highway gate \hookrightarrow Additional carry gate (1 T) on identity.
- **③** Gated Multi-Head Self-Attention \hookrightarrow Additional carry gate (1 T) on SA.

Transformers

- Vanilla Transformer
- R-Transformer [7]
- Transformer-XL [6]

Language Modeling Datasets

- Char-level PTB
- Word-level PTB
- enwik8

Results

3-layer Transformer (T-L3) on char-level PTB



model	eval loss	eval ppl	test loss	test pp
T-L3	1.068	1.541	1.036	1.495
$+\sigma$ SDU	0.9776	1.410↓	0.950	1.371↓
+ tanh SDU	0.9714	1.401↓	0.945	1.364↓

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Highway Transformer (ACL 2020)

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6-layer Transformer-XL (XL-L6) on *enwik8*

SDUs accelerate the convergence speed during training and evaluation process!



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Highway Transformer (ACL 2020)

model	eval loss	eval bpc	test loss	test bpc			
L6-XL	0.8843	1.276	0.86	1.24339			
+tanh SDU	0.8602	1.241↓	0.84	1.21424↓			
$+\sigma$ SDU	0.8577	1.237↓	0.84	1.21123↓			
+highway gate	0.8692	1.254↓	0.85	1.22177↓			
$+ gated \ MHDPA$	0.8682	1.253↓	0.85	1.22398↓			
Ablation study							
+tanh L1-6\FFN	0.8720	1.258↓	0.85	1.22866↓			
+tanh L1-3	0.8660	1.249↓	0.85	1.22039 ↓			
+tanh L3-6	0.8852	1.277↓	0.86	1.24420↓			
$+\sigma$ L1-6\FFN	0.8752	1.263↓	0.85	1.23332↓			
$+\sigma$ L1-3	0.8792	1.268↓	0.86	1.23589↓			
$+\sigma$ L3-6	0.8843	1.276↓	0.86	1.24261↓			

Ablation Study: XL-L6 on enwik8

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Results

12-layer Transformer-XL (XL-L12) on enwik8.

• Our experiments showed that SDU on **shallow layers** could accelerate the convergence process.



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Highway Transformer (ACL 2020)

Visualization of learned baises on SDUs

Shallow layers of Transformers may attend to different semantics from top layers.



Image: Image:

- Self-Gating Units (SDU) allows for the pseudo-highway information flow, leading to the better convergence during training/evaluation process.
- It is compatible and scalable to common Transformer variants, including Transformer-XL and R-Transformer.
- Low layers in the Transformer stacks may pay more attention to local features [5], and the SDU components can be applied on the bottom layers for deep Transformer models.

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Thanks

Image: A mathematical states of the state